

# Bisimulation

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Bisimulation equivalence is a semantic equivalence relation on labelled transition systems, which are used to represent distributed systems. It identifies systems with the same branching structure.

## Labelled transition systems

A labelled transition system consists of a collection of states and a collection of transitions between them. The transitions are labelled by actions from a given set  $A$  that happen when the transition is taken, and the states may be labelled by predicates from a given set  $P$  that hold in that state.

**Definition 1** Let  $A$  and  $P$  be sets (of *actions* and *predicates*, respectively).

A *labelled transition system* (LTS) over  $A$  and  $P$  is a triple  $(S, \rightarrow, \models)$  with

- $S$  a class (of *states*),
- $\rightarrow$  a collection of binary relations  $\xrightarrow{a} \subseteq S \times S$ —one for every  $a \in A$ —(the *transitions*), such that for all  $s \in S$  the class  $\{t \in S \mid s \xrightarrow{a} t\}$  is a set,
- and  $\models \subseteq S \times P$ .  $s \models p$  says that predicate  $p \in P$  *holds* in state  $s \in S$ .

LTSs with  $A$  a singleton (i.e. with  $\rightarrow$  a single binary relation on  $S$ ) are known as *Kripke structures*, the models of modal logic. General LTSs (with  $A$  arbitrary) are the Kripke models for polymodal logic. The name “labelled transition system” is employed in concurrency theory. There, the elements of  $S$  represent the systems one is interested in, and  $s \xrightarrow{a} t$  means that system  $s$  can evolve into system  $t$  while performing the action  $a$ . This approach identifies states and systems: the states of a system  $s$  are the systems reachable from  $s$  by following the transitions. In this realm  $P$  is often taken to be empty, or it contains a single predicate  $\surd$  indicating successful termination.

**Definition 2** A *process graph* over  $A$  and  $P$  is a tuple  $g = (S, I, \rightarrow, \models)$  with  $(S, \rightarrow, \models)$  an LTS over  $A$  and  $P$  in which  $S$  is a set, and  $I \in S$ .

Process graphs are used in concurrency theory to disambiguate between states and systems. A process graph  $(S, I, \rightarrow, \models)$  represents a single system, with  $S$  the set of its states and  $I$  its initial state. In the context of an LTS  $(S, \rightarrow, \models)$  two concurrent systems are modelled by two members of  $S$ ; in the context of process graphs, they are two different graphs. The *nondeterministic finite automata* used in *automata theory* are process graphs with a finite set of states over a finite alphabet  $A$  and a set  $P$  consisting of a single predicate denoting *acceptance*.

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## Bisimulation equivalence

Bisimulation equivalence is defined on the states of a given LTS, or between different process graphs.

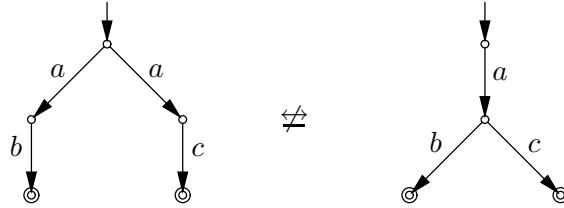
**Definition 3** Let  $(S, \rightarrow, \models)$  be an LTS over  $A$  and  $P$ . A *bisimulation* is a binary relation  $R \subseteq S \times S$ , satisfying:

- $\wedge$  if  $sRt$  then  $s \models p \Leftrightarrow t \models p$  for all  $p \in P$ ,
- $\wedge$  if  $sRt$  and  $s \xrightarrow{a} s'$  with  $a \in A$ , then there exists a  $t'$  with  $t \xrightarrow{a} t'$  and  $s'Rt'$ ,
- $\wedge$  if  $sRt$  and  $t \xrightarrow{a} t'$  with  $a \in A$ , then there exists an  $s'$  with  $s \xrightarrow{a} s'$  and  $s'Rt'$ .

Two states  $s, t \in S$  are *bisimilar*, denoted  $s \Leftrightarrow t$ , if there exists a bisimulation  $R$  with  $sRt$ .

Bisimilarity turns out to be an equivalence relation on  $S$ , and is also called *bisimulation equivalence*.

**Definition 4** Let  $g = (S, I, \rightarrow, \models)$  and  $h = (S', I', \rightarrow', \models')$  be process graphs over  $A$  and  $P$ . A *bisimulation* between  $g$  and  $h$  is a binary relation  $R \subseteq S \times S'$ , satisfying  $IRI'$  and the same three clauses as above.  $g$  and  $h$  are *bisimilar*, denoted  $g \Leftrightarrow h$ , if there exists a bisimulation between them.



**Example** The two process graphs above (over  $A = \{a, b, c\}$  and  $P = \{\sqrt{\quad}\}$ ), in which the initial states are indicated by short incoming arrows and the final states (the ones labelled with  $\sqrt{\quad}$ ) by double circles, are not bisimulation equivalent, even though in automata theory they accept the same language. The choice between  $b$  and  $c$  is made at a different moment (namely before vs. after the  $a$ -action); i.e. the two systems have a different *branching structure*. Bisimulation semantics distinguishes systems that differ in this manner.

## Modal logic

(Poly)modal logic is an extension of propositional logic with formulas  $\langle a \rangle \varphi$ , saying that it is possible to follow an  $a$ -transition after which the formula  $\varphi$  holds. Modal formulas are interpreted on the states of labelled transition systems. Two systems are bisimilar iff they satisfy the same infinitary modal formulas.

**Definition 5** The language  $\mathcal{L}$  of *polymodal logic* over  $A$  and  $P$  is given by:

- $\top \in \mathcal{L}$ ,
- $p \in \mathcal{L}$  for all  $p \in P$ ,
- if  $\varphi, \psi \in \mathcal{L}$  for then  $\varphi \wedge \psi \in \mathcal{L}$ ,
- if  $\varphi \in \mathcal{L}$  then  $\neg \varphi \in \mathcal{L}$ ,
- if  $\varphi \in \mathcal{L}$  and  $a \in A$  then  $\langle a \rangle \varphi \in \mathcal{L}$ .

Basic (as opposed to *poly-*) modal logic is the special case where  $|A| = 1$ ; there  $\langle a \rangle \varphi$  is simply denoted  $\diamond \varphi$ . The *Hennessey-Milner logic* is polymodal logic with  $P = \emptyset$ . The language  $\mathcal{L}^\infty$  of *infinitary polymodal logic* over  $A$  and  $P$  is obtained from  $\mathcal{L}$  by additionally allowing  $\bigwedge_{i \in I} \varphi_i$  to be in  $\mathcal{L}^\infty$  for arbitrary index sets  $I$  and  $\varphi_i \in \mathcal{L}^\infty$  for  $i \in I$ . The connectives  $\top$  and  $\wedge$  are then the special cases  $I = \emptyset$  and  $|I| = 2$ .

**Definition 6** Let  $(S, \rightarrow, \models)$  be an LTS over  $A$  and  $P$ . The relation  $\models \subseteq S \times P$  can be extended to the *satisfaction relation*  $\models \subseteq S \times \mathcal{L}^\infty$ , by defining

- $s \models \bigwedge_{i \in I} \varphi_i$  if  $s \models \varphi_i$  for all  $i \in I$ —in particular,  $s \models \top$  for any state  $s \in S$ ,
- $s \models \neg \varphi$  if  $s \not\models \varphi$ ,
- $s \models \langle a \rangle \varphi$  if there is a state  $t$  with  $s \xrightarrow{a} t$  and  $t \models \varphi$ .

Write  $\mathcal{L}(s)$  for  $\{\varphi \in \mathcal{L} \mid s \models \varphi\}$ .

**Theorem 1** [5] Let  $(S, \rightarrow, \models)$  be an LTS and  $s, t \in S$ . Then  $s \simeq t \Leftrightarrow \mathcal{L}^\infty(s) = \mathcal{L}^\infty(t)$ .

In case the systems  $s$  and  $t$  are image finite, it suffices to consider finitary polymodal formulas only [3]. In fact, for this purpose it is enough to require that one of  $s$  and  $t$  is image finite.

**Definition 7** Let  $(S, \rightarrow, \models)$  be an LTS. A state  $t \in S$  is *reachable* from  $s \in S$  if there are  $s_i \in S$  and  $a_i \in A$  for  $i = 0, \dots, n$  with  $s = s_0$ ,  $s_{i-1} \xrightarrow{a_i} s_i$  for  $i = 1, \dots, n$ , and  $s_n = t$ . A state  $s \in S$  is *image finite* if for every state  $t \in S$  reachable from  $s$  and for every  $a \in A$ , the set  $\{u \in S \mid t \xrightarrow{a} u\}$  is finite.

**Theorem 2** [4] Let  $(S, \rightarrow, \models)$  be an LTS and  $s, t \in S$  with  $s$  image finite. Then  $s \simeq t \Leftrightarrow \mathcal{L}(s) = \mathcal{L}(t)$ .

## Non-well-founded sets

Another characterization of bisimulation semantics can be given by means of ACZEL's universe  $\mathcal{V}$  of non-well-founded sets [1]. This universe is an extension of the Von Neumann universe of well-founded sets, where the axiom of foundation (every chain  $x_0 \ni x_1 \ni \dots$  terminates) is replaced by an *anti-foundation axiom*.

**Definition 8** Let  $(S, \rightarrow, \models)$  be an LTS, and let  $\mathcal{B}$  denote the unique function  $\mathcal{M} : S \rightarrow \mathcal{V}$  satisfying, for all  $s \in S$ ,

$$\mathcal{M}(s) = \{\langle a, \mathcal{M}(t) \rangle \mid s \xrightarrow{a} t\}.$$

It follows from Aczel's anti-foundation axiom that such a function exists. In fact, the axiom amounts to saying that systems of equations like the one above have unique solutions.  $\mathcal{B}(s)$  could be taken to be the *branching structure* of  $s$ . The following theorem then says that two systems are bisimilar iff they have the same branching structure.

**Theorem 3** [2] Let  $(S, \rightarrow, \models)$  be an LTS and  $s, t \in S$ . Then  $s \simeq t \Leftrightarrow \mathcal{B}(s) = \mathcal{B}(t)$ .

## Abstraction

In concurrency theory it is often useful to distinguish between *internal actions*, that do not admit interactions with the outside world, and *external* ones. As normally there is no need to distinguish the internal actions from each other, they all have the same name, namely  $\tau$ . If  $A$  is the set of external actions a certain class of systems may perform, then  $A_\tau := A \dot{\cup} \{\tau\}$ . Systems in that class are then represented by labelled transition systems over  $A_\tau$  and a set of predicates  $P$ . The variant of bisimulation equivalence that treats  $\tau$  just like any action of  $A$  is called *strong bisimulation equivalence*. Often, however, one wants to abstract from internal actions to various degrees. A system doing two  $\tau$  actions in succession is then considered equivalent to a system doing just one. However, a system that can do either  $a$  or  $b$  is considered different from a system that can do either  $a$  or first  $\tau$  and then  $b$ , because if the former system is placed in an environment where  $b$  cannot happen, it can still do  $a$  instead, whereas the latter system may reach a state (by executing the  $\tau$  action) in which  $a$  is no longer possible.

Several versions of bisimulation equivalence that formalize these desiderata occur in the literature. *Branching bisimulation equivalence* [2], like strong bisimulation, faithfully preserves the branching structure of related systems. The notions of *weak* and *delay* bisimulation equivalence, which were both introduced by Milner under the name *observational equivalence*, make more identifications, motivated by observable machine-behavior according to certain testing scenarios.

Write  $s \Longrightarrow t$  for  $\exists n \geq 0 : \exists s_0, \dots, s_n : s = s_0 \xrightarrow{\tau} s_1 \xrightarrow{\tau} \dots \xrightarrow{\tau} s_n = t$ , i.e. a (possibly empty) path of  $\tau$ -steps from  $s$  to  $t$ . Furthermore, for  $a \in A_\tau$ , write  $s \xrightarrow{(a)} t$  for  $s \xrightarrow{a} t \vee (a = \tau \wedge s = t)$ . Thus  $\xrightarrow{(a)}$  is the same as  $\xrightarrow{a}$  for  $a \in A$ , and  $\xrightarrow{(\tau)}$  denotes zero or one  $\tau$ -steps.

**Definition 9** Let  $(S, \rightarrow, \models)$  be an LTS over  $A_\tau$  and  $P$ . Two states  $s, t \in S$  are *branching bisimulation equivalent*, denoted  $s \stackrel{b}{\sim} t$ , if they are related by a binary relation  $R \subseteq S \times S$  (a *branching bisimulation*), satisfying:

- $\wedge$  if  $sRt$  and  $s \models p$  with  $p \in P$ , then there is a  $t_1$  with  $t \Longrightarrow t_1 \models p$  and  $sRt_1$ ,
- $\wedge$  if  $sRt$  and  $t \models p$  with  $p \in P$ , then there is a  $s_1$  with  $s \Longrightarrow s_1 \models p$  and  $s_1Rt$ ,
- $\wedge$  if  $sRt$  and  $s \xrightarrow{a} s'$  with  $a \in A_\tau$ , then there are  $t_1, t_2, t'$  with  $t \Longrightarrow t_1 \xrightarrow{(a)} t_2 = t'$ ,  $sRt_1$  and  $s'Rt'$ ,
- $\wedge$  if  $sRt$  and  $t \xrightarrow{a} t'$  with  $a \in A_\tau$ , then there are  $s_1, s_2, s'$  with  $s \Longrightarrow s_1 \xrightarrow{(a)} s_2 = s'$ ,  $s_1Rt$  and  $s'Rt'$ .

*Delay bisimulation equivalence*,  $\stackrel{d}{\sim}$ , is obtained by dropping the requirements  $sRt_1$  and  $s_1Rt$ . *Weak bisimulation equivalence* [5],  $\stackrel{w}{\sim}$ , is obtained by furthermore relaxing the requirements  $t_2 = t'$  and  $s_2 = s'$  to  $t_2 \Longrightarrow t'$  and  $s_2 \Longrightarrow s'$ .

These definition stem from concurrency theory. On Kripke structures, when studying modal or temporal logics, normally a stronger version of the first two conditions is imposed:

- $\wedge$  if  $sRt$  and  $p \in P$ , then  $s \models p \Leftrightarrow t \models p$ .

For systems without  $\tau$ 's all these notions coincide with strong bisimulation equivalence.

## Concurrency

When applied to *parallel systems*, capable of performing different actions at the same time, the versions of bisimulation discussed here employ *interleaving semantics*: no distinction is made between true parallelism and its nondeterministic sequential simulation. Versions of bisimulation that do make such a distinction have been developed as well, most notably the *ST-bisimulation* [2], that

takes temporal overlap of actions into account, and the *history preserving bisimulation* [2] that even keeps track of causal relations between actions. For this purpose, system representations such as *Petri nets* or *event structures* are often used instead of labelled transition systems.

## References

- [1] P. ACZEL (1988): *Non-well-founded Sets*, CSLI Lecture Notes 14. Stanford University.
- [2] R.J. VAN GLABBEEK (1990): *Comparative Concurrency Semantics and Refinement of Actions*. PhD thesis, Free University, Amsterdam. Second edition available as CWI tract 109, CWI, Amsterdam 1996.
- [3] M. HENNESSY & R. MILNER (1985): *Algebraic laws for nondeterminism and concurrency*. *Journal of the ACM* 32(1), pp. 137–161.
- [4] M.J. HOLLENBERG (1995): *Hennesy-Milner classes and process algebra*. In A. Ponse, M. de Rijke & Y. Venema, editors: *Modal Logic and Process Algebra: a Bisimulation Perspective*, CSLI Lecture Notes 53, CSLI Publications, Stanford, California, pp. 187–216.
- [5] R. MILNER (1990): *Operational and algebraic semantics of concurrent processes*. In J. van Leeuwen, editor: *Handbook of Theoretical Computer Science*, chapter 19, Elsevier Science Publishers B.V. (North-Holland), pp. 1201–1242.

## Further reading

Gentle introductions to bisimulation semantics, with many examples of applications, can be found in the textbooks:

- J.C.M. BAETEN & W.P. WEIJLAND (1990): *Process Algebra*, Cambridge University Press.
- R. MILNER (1989): *Communication and Concurrency*, Prentice Hall.

An historical perspective on bisimulation appears in

- D. SANGIORGI (2009): *On the origins of bisimulation and coinduction*, *ACM Transactions on Programming Languages and Systems* 31(4).